



FINANCIAL FRAUD & INTELLIGENCE

Combating Financial Crime Through Information

The annual ICC Financial Investigation Bureau (FIB) Workshop that highlights and examines the current issues affecting financial fraud, due diligence and financial intelligence

Wotton House, Dorking, UK 17th to 19th November 2008

Why Attend the Course?

- ◆ Gain awareness of international trends in Financial Instrument Fraud
- ◆ Analyse major case files in financial fraud
- ◆ Discover new and innovative methods in due diligence measures
- ◆ Enhance investigative techniques in combating financial fraud
- ◆ Examine how to reduce risk through financial networking
- ◆ Know and understand institutional vulnerabilities and how to mitigate them
- ◆ Understand the legal remedies available to the combat fraud

Course Aims & Benefits

The FIB Workshop is widely acknowledged for its unique and practical approach to identifying and tackling potential pitfalls faced by those using financial instruments within international banking and financial services.

The Workshop is established as an essential component in the yearly conference calendar of those responsible for managing and avoiding risk in today's financial environment.

Recent developments in international financial fraud and the manipulation of financial instruments will be examined through the review and analysis of international major case studies.

The Workshop will draw on the considerable expertise of the FIB and its worldwide members in the detection/prevention of financial fraud and the unlawful use of the financial system.

Direct benefits for delegates are a greater understanding and application of international financing and financial instruments, money transmittal and communications protocols, as well as international anti-money laundering and counter terrorism financing guidelines and practices.



PROGRAMME

Day 1 – Monday 17th November 2008

1500 to 1800 Pick up at London Heathrow/Gatwick Airports and Check-in Wotton House

1930 Cocktails followed by dinner & Welcome Address

Day 2 – Tuesday 18th November 2008

0900-0915 **Conference Introduction**

Part I Financial Fraud Today

0915-1000 **Global Financial Fraud Report**

The session will discuss recent financial frauds such as financial instrument fraud and advance fee fraud committed against the financial services sector. Examples of current trends and methods from FIB case files will be presented.

1000-1015 Tea

1015-1145 **Case Studies & Member Experiences**

Members experiences of various frauds and malpractice including the misuse of documents, methods and examples of cases handled by the FIB. The session includes a discussion on the lessons learnt and best practice experienced from regional focus areas of the world.

Part II Financial Crime and the Law

1145-1230 **Investigations**

Examining the restrictions and limitations associated with conducting investigations in offshore financial centres & tax havens with the aim of breaching the corporate veil.

1230-1400 Lunch

1400-1515 **Proceeds of Crime & Recovery of Losses**

Discussing and examining, through case studies, the tracing and recovery of assets, legal weapons & injunctions available along with the use of anti-terrorist measures in freezing funds in transit.

1515-1530 Tea

Part III Conference Debate

1530-1615 **Proposition: Many mainland financial supervision regimes are weaker than those practised in offshore centres.**

The session presents an opportunity to hear and provide comments into the conference debate. Participants will hear the arguments as presented by experts and then inject their comments accordingly. A final vote on the issue will conclude the session.

1615-1645 **Discussion**

1900-1930 Cocktails

1930 Dinner

Day 3 – Wednesday 19th November 2008

Part IV Washing the Proceeds

0900-0945 **Money Laundering International**

Discussing the definition of money laundering, the application of the term within national jurisdictions, its methodologies and the involvement/role of intermediaries. Discussion will centre on why it is bad for business supported by some case examples.

0945-1015 **EU 3rd Directive**

Focussing on implementation of the new regulation against money laundering, along with recent developments, expected future trends and an analysis on the impact of international companies & financial services doing business within the European Union.

1015-1030 Tea

1030-1100 **Financial Networking**

Examining the increasingly integrated financial world with an outlook of whether we are winning the battle against financial crime or simply driving the proceeds underground.

Part V Prevention

1100-1145 **Identifying Institutional Vulnerabilities**

An examination of how organised crime has and continues to target organisations within the financial services sector. Issues to be discussed include knowing your employees, internal security measures and ring-fencing critical operations to mitigate vulnerabilities, which include online threats to core services.

1145-1230 **Building a Fraud Resistant Workplace**

Examining and discussing meaningful and effective measures designed to look at the risk-based approach to building a more resistant workplace to fraud and information security. Critical elements will include employee training, identifying and response to red flag issues, whistleblowing schemes, confidential sources of information and the challenges of exchanging experiences.

1230-1400 Lunch

Part VI Response and Remedies

1400-1445 **Forensics I**

Focussing on the tools available to forensic investigators. Key elements to be discussed include document and handwriting verification, identity theft and the potential/ pitfalls of digital images.

1445-1515 **Forensics II**

Builds on the previous session and examines the current technical responses available to investigators, including voice stress analysis, computer software used in identifying transactional anomalies and the internet as a research and intelligence tool.

1515-1530 Tea

1545-1615 Achievements and Recommendations Presentations/ Concluding Remarks

1700 Transport back to London

THE FIB WORKSHOP

INTRODUCTION

Held annually by the ICC Financial Investigation Bureau (FIB), the unique approach of this specialised interactive Workshop presents delegates with the latest fraud trends and developments, and assists them in dealing with financial crime, money laundering and counter terrorism financing issues.

The Workshop also provides delegates with the opportunity to share best practice ideas and to influence future anti-fraud and money laundering initiatives with government officials and financial sector decision-makers.

Ideal for FIB Members, national Financial Intelligence Unit (FIU) representatives and those from other financial sector institutions, the Workshop has the added benefit of being held in a location specially chosen to enable presentations and discussions to be held in an environment that is not only beautiful, but also ensures privacy and discretion.

THE VENUE **Wotton House, Dorking, Surrey**

This fully residential course will be held in the historic setting of the magnificent Wotton House, a grand country house set in 20 acres of listed grounds within the 300 acre Wotton Estate that was once the family home of diarist John Evelyn.



Today, meticulously converted and extended, it is renowned as a leading venue for business and leisure and is within easy reach of the M25 as well as Heathrow and Gatwick Airports.



Wotton House boasts an exceptional health and fitness centre including an indoor swimming pool, whirlpool, sauna and steam room, state of the art fitness centre, aerobic studio, all weather tennis court and jogging trails.



ABOUT THE FINANCIAL INVESTIGATION BUREAU

The ICC Financial Investigation Bureau (FIB) was established 1991 to act as a focal point for the financial services sector in all matters relating to major fraud, money laundering and counter terrorism financing.

The activities of the FIB have since expanded to include conducting worldwide investigations and assisting Financial Intelligence Units (FIUs) and other government regulatory bodies, including law enforcement.

The FIB is a non-profit making division of ICC Commercial Crime Services and works closely with international organisations on matters connected with its specialist role.

The FIB has broadened its scope and is universally accepted as the industry “watchdog” for all types of financial crime and malpractice affecting the international financial and banking community.

The directors and officers of the FIB frequently lecture at seminars and workshops worldwide and publish material on matters associated with major financial instrument fraud and money laundering.

“It is with pleasure that I commend to you the Combating Financial Crime Through Information Course. Previous experience has shown that this event will be both personally rewarding and commercially invaluable to all who attend.”

**Guy Sebban, Secretary General,
International Chamber of Commerce,
Paris - July 2008**

Financial Fraud & Intelligence

17th-19th November 2008

To: Course Administrator, ICC Commercial Crime Services

Please register the persons named below for this course for a fee of £1900 (incl VAT) per delegate to cover all accommodation, documentation, refreshments, meals and transport from/to Heathrow/Gatwick Airports.

NAME.....

Position.....

NAME.....

Position.....

Company/Organisation.....

Address.....

.....

.....

Tel No:..... Fax No:.....

Email.....

I enclose a sterling bankers draft payable in London made out to ICC-Commercial Crime Services

Please invoice my company

Please charge my credit card

Access, Visa, Mastercard (circle)

Cardholder's signature.....

A/C Name.....

Expiry Date.....

Bank Details: National Westminster Bank plc
37 High Street, East Ham, London E6

Account name: ICC-Commercial Crime Services.
Account No: 23043199
Sort Code: 60-07-18

HOW TO BOOK

By phone: +44 (0)20 7423 6960
(telephone bookings must subsequently be confirmed in writing)

By post: send your completed form to:
**The Course Administrator,
ICC-CCS, Cinnabar Wharf,
26 Wapping High Street,
London E1W 1NG**

By fax: fax your completed form to
+44 (0)20 7423 6961

By email to: KONEill@icc-ccs.org
(quoting Financial Fraud & Intelligence Course)

PAYMENT

**Fee: £1,617 + VAT
= £1,900 per delegate**

Fee includes all tuition, documentation, meals and accommodation.

**Applications must be received
by September 1st 2008
Payment must be received
by October 1st 2008**

Cancellations:

Before 1st September = Full Refund
1st September to 1st October = 50% Refund
After 1st October = No Refund
but substitutions may be made at any time.

An alternative nominee is permitted without additional charge

Upcoming CCS courses

- Internet Intelligence
March 2009
- Port State Control
April 2009
- IMB Trading Course
October 2009

NOTE: The organisers reserve the right to change the programme, the venue or the speakers without prior notice or postpone or cancel the event.